



Attorney Docket No. GRCN-002/00US

PATENT

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By: _____

Michelle R. Crosby

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Peter A. PANEC et al.

Examiner: Not Yet Assigned

Serial No.: 10/618,089

Art Unit: 2133

Filed: July 11, 2003

Confirmation No.: 3811

For: APPARATUS AND METHOD FOR GENERATING ALERT MESSAGES IN A
MESSAGE EXCHANGE NETWORK

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,

Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☐ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ____ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☒ No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.

- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) ___. English language publication ___ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ___ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) ___ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. ___.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,
COOLEY GODWARD LLP

Dated: December 12, 2003

By:



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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO		Complete if Known	
		Application Number	10/618,089
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) DEC 16 2003 PATENT & TRADEMARK OFFICE		Filing Date	July 11, 2003
		First Named Inventor	Peter A. PANEC et al.
		Group Art Unit	2133
		Examiner Name	Not Yet Assigned
Attorney Docket Number	GRCN-002/00US		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	A1	6105027		Schneider et al.	08/15/2002
	A2	6138158		Boyle et al.	10/24/2000
	A3	6178505		Schneider et al.	01/23/2001
	A4	6408336		Schneider et al.	06/18/2002
	A5	2003/0018808		Brouk et al.	01/23/2003
	A6	2003/0041178		Brouk et al.	02/27/2003
	A7	2003/0053459		Brouk et al.	03/20/2003

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office ³	Number ⁴	Kind Code ⁵ (if known)		

Examiner Signature		Date Considered	
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¹ Unique citation designation number.
² See attached Kinds of U.S. Patent Documents.
³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).
⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.
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⁶ Applicant is to place a check mark here if English language Translation is attached.